

Categorical resolutions

(an optimistic view)

Calum Crossley

3 Nov 2025

DK-Conjecture (Bondal–Orlov '02, Kawamata '02)

Take a roof
$$\begin{array}{ccc} & Z & \\ f \swarrow & & \searrow g \\ X & & Y \end{array}$$
 of smooth projective varieties.

- If $f^*K_X = g^*K_Y$, then $D^b(X) = D^b(Y)$.
- If $f^*K_X > g^*K_Y$, then $D^b(X) = \langle D^b(Y), \dots \rangle$.

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$\implies$ MMP passes to smaller and smaller admissible components.

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Example (Crepan resolutions should be D -unique)

All crepan ($K_{\tilde{X}} = \pi^*K_X$) resolutions $\pi : \tilde{X} \rightarrow X$ of a Gorenstein singularity are K -equivalent $\implies$ conjecturally D -equivalent.

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Example (Crepant resolutions should be D -unique)

All crepant ($K_{\tilde{X}} = \pi^*K_X$) resolutions $\pi : \tilde{X} \rightarrow X$ of a Gorenstein singularity are K -equivalent $\implies$ conjecturally D -equivalent.

$\implies$ At the level of $D^b(-)$, MMP stops at a *smooth* minimal model.
(when a crepant resolution exists)

Minimal Resolution Conjecture (Bondal–Orlov '02)

- $D^b(X)$ has a minimal “nc-desingularization”, which embeds fully faithfully (SOD) in all other desingularizations, and hence is unique.
- If $\pi : \tilde{X} \rightarrow X$ is a crepant resolution, then $D^b(\tilde{X})$ is the minimal desingularization of $D^b(X)$.

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$\implies$ “Categorical” MMP *always* stops at a smooth minimal model.
(crepant resolution = the categorical minimal model is geometric)

Remark (“stacky crepant resolutions”)

By [BKR], crepant resolutions of certain (cDV) quotient singularities are D -equivalent to the (smooth) orbifold quotient $[X/G]$.

Generalizing this, $D^b([X/G])$ should always be the minimal desingularization for the coarse moduli space X/G .

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Remark

Even with a good definition, there is no clear proof approach; how do you construct a functor between arbitrary desingularizations?

Other context

Why else do we care?

- Appears naturally in homological mirror symmetry (e.g. degenerations, or proving mirror symmetry for singularities via the resolution)
- Appears naturally in homological projective duality (e.g. K3 constructed from a singular cubic fourfold giving a categorical resolution of the Kuznetsov component).
- (Conjectural) unique minimal resolutions lead to (conjectural) invariants of singularities (like dual graphs of ADE surfaces).
- Like *DK*-conjecture, predicted equivalences between minimal resolutions can be *unexpected*, hinting at deeper structure in the constructions used to build the categories involved.
- There are actual hands-on constructions, allowing us to study concrete examples, and we find interesting structure which is poorly understood (e.g. null categories, relative singularity categories).

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If $\pi_*\pi^* = 1$ (i.e. π^* fully faithful, π_* ess. surjective) $\iff \pi_*\mathcal{O}_{\tilde{X}} = \mathcal{O}_X$,
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Remark

- Crepant resolution $\implies$ rational singularities.
- Terminal singularities $\implies$ rational singularities.

Categorical resolutions

Definition (Kuznetsov '08)

A *weak categorical resolution* is a smooth category $\mathcal{C}$ with adjoint functors

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- If X has irrational singularities, $D^b(\tilde{X})$ is *not* a categorical resolution!
- It is a weakly crepant categorical resolution iff $\pi : \tilde{X} \rightarrow X$ is crepant.

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- It is a weakly crepant categorical resolution iff $\pi : \tilde{X} \rightarrow X$ is crepant.

The justification for this definition when X has irrational singularities is a theorem of Kuznetsov and Lunts, which we will see in a moment.

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Example

Take $X = \operatorname{Spec} k[x]/x^2$. Then $D^b(X) = \langle k[x]/x \rangle$, and $\operatorname{Ext}_X^*(k, k) = k[\theta]$ where $|\theta| = 1$, so by Koszul duality $D^b(X) = D^b(k[\theta])$ which is smooth like $\mathbb{A}^1$.

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Categorical resolutions

Definition

A *proper categorical resolution* is a weak categorical resolution such that

- $\mathcal{C}$ is “linear over $D^b(X)$ ”, i.e. $\mathrm{Hom}_{\mathcal{C}} = R\Gamma_X \circ \mathcal{H}om_{\mathcal{C}}$ where $\mathcal{H}om_{\mathcal{C}}(-, -) \in D^b(X)$, plus naturality conditions.
- The functors π^* , π_* respect this structure.

Jargon: a module over the tensor category $(\mathfrak{P}erf(X), \otimes)$.

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Definition (Kuznetsov '08)

A *relative Serre functor* for a proper categorical resolution is an endofunctor $S : \mathcal{C} \rightarrow \mathcal{C}$ with a natural isomorphism

$$\mathcal{H}om_{\mathcal{C}}(x, S(y)) = \mathcal{H}om_X(\mathcal{H}om_{\mathcal{C}}(y, x), \mathcal{O}_X).$$

The resolution is *strongly crepant* if $\text{id}_{\mathcal{C}}$ is a relative Serre functor.

Categorical resolutions

Remark

It follows that $\pi^! = S \circ \pi^*$, so strongly crepant $\implies$ weakly crepant.

Example

For $\pi : \tilde{X} \rightarrow X$ the relative Serre functor is $- \otimes \omega_{\tilde{X}/X}[\dim \tilde{X} - \dim X]$, and strong crepancy $\iff$ weak crepancy $\iff$ crepancy: $\omega_{\tilde{X}/X} = \mathcal{O}_{\tilde{X}}$.

Theorem (Kuznetsov–Lunts '15)

Proper categorical resolutions exist for any separated scheme of finite type in characteristic zero.

Conjecture (Kuznetsov '08)

Strongly crepant categorical resolutions are minimal.

Example: dual numbers

Take $X = \operatorname{Spec} k[x]/x^2$ again. A proper categorical resolution has to be a smooth and proper category (since $k[x]/x^2$ is finite over k).

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Use the compactification $\mathbb{P}_{\theta:\phi}^1$, $|\theta| = 1$ of $D^b(X) = D^b(k[\theta])$. Beilinson:

$$\mathcal{C} = D^b\left(\bullet \xrightarrow[\phi]{\theta} \bullet\right),$$

which contains $\mathfrak{P}erf(X)$ as the subcategory generated by $\mathcal{O}_{[0:1]} = \operatorname{cone}(\theta)$.

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Two perspectives:

- Smoothing $\mathfrak{P}erf(X)$: finding a smooth category with an object having endomorphisms $k[x]/x^2$ (skyscraper sheaf in $\mathbb{P}^1$).
- Compactifying $D^b(X)$: compactifying $\mathbb{A}^1$ to $\mathbb{P}^1$.

Minimality, SOD's and null categories

Suppose $\overline{\mathcal{C}}$ is the minimal resolution:

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with $\mathcal{C} = \langle \overline{\mathcal{C}}, \mathcal{A} \rangle$.

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with $\mathcal{C} = \langle \overline{\mathcal{C}}, \mathcal{A} \rangle$. Then $\mathcal{A} \subseteq \ker \pi_* \implies \ker \pi_* = \langle \mathcal{K}, \mathcal{A} \rangle$ with $\mathcal{A}$ smooth and proper: “categorical absorption of singularities” for $\ker \pi_*$.

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Example

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Note: if $\mathcal{X} = D^b(R)$, we get $D_{\text{Sg}}(X) = D_{\text{Sg}}(R)$.

Constructions

Auslander algebras (Kuznetsov–Lunts)

If S is a non-reduced thickening of a smooth variety S_0 , there is a sheaf of algebras $\mathcal{A}_{S/S_0}$ on S which is a proper categorical resolution, with an SOD:

$$D^b(\mathcal{A}_{S/S_0}) = \langle D^b(S_0), \dots, D^b(S_0) \rangle.$$

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Example

The dual numbers $k[x]/x^2$ are a 2nd order thickening of a point, so we get an SOD: $D^b(\mathcal{A}) = \langle D^b(\text{pt}), D^b(\text{pt}) \rangle$. The Auslander resolution $D^b(\mathcal{A})$ is equivalent to the $\mathbb{P}_{\theta:\phi}^1$ resolution.

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The Kuznetsov–Lunts existence theorem is proved by gluing Auslander resolutions of thickenings of the blowup centres appearing in a resolution of singularities.

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NC(C)R's (Van den Bergh)

In nice situations (e.g. quotient singularities), when $X = \operatorname{Spec} R$, there can be a reflexive R -module M such that $\Lambda = \operatorname{End}_R(M)$ has good homological properties.

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Caveat

NCCR's are not known to always give categorical resolutions due to a technical obstruction, but it is expected and known in many cases.

Matrix factorizations

Definition

A *Landau–Ginzburg model* is a smooth variety U with a $\mathbb{C}^*$ -action (*R-charge*) and a global function $W \in H^0(U, \mathcal{O}_U)$ (the *superpotential*) with is $\mathbb{C}^*$ -equivariant of weight 2.

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Fact

Because $W = \frac{1}{2}dd + \frac{1}{2}dd$ and $\partial_x(W) = \partial_x(d)d + d\partial_x(d)$ are null-homotopies, the category $\mathrm{MF}(U, W)$ is linear over $\mathrm{Crit}(W) \subset U$.

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Example (Classical Knörrer periodicity)

$\mathcal{E} = (\mathcal{O} \xrightleftharpoons[y]{x} \mathcal{O}[-1]) \in \mathrm{MF}(\mathbb{A}^2, xy)$ is an exceptional object, where R -charge is $|x| = 0$, $|y| = 2$. It is quasi-isomorphic to $\mathcal{O}/y$, so

$$\begin{aligned} \mathrm{Hom}(\mathcal{E}, \mathcal{E}) &= \mathrm{Hom}(\mathcal{O} \xrightleftharpoons[y]{x} \mathcal{O}[-1] , \mathcal{O}/y) \\ &= (\mathcal{O}/y[1] \xrightarrow{x} \mathcal{O}/y) = \mathcal{O}/(x, y). \end{aligned}$$

This is a sheaf on $\mathrm{Crit}(xy) = \{x = y = 0\}$, as expected.

Matrix factorizations

Theorem (Knörrer periodicity (Orlov '06, ...))

Suppose $X \subset U$ is a hypersurface cut out by $f \in H^0(U, \mathcal{L})$. Then

$$D^b(X) \simeq \text{MF}(\text{Tot } \mathcal{L}^\vee, fp); \quad \mathcal{F} \mapsto \left(\mathcal{F} \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{p} \end{array} \mathcal{F} \otimes \mathcal{L}[-1] \right),$$

where the fiber coordinate $p \in H^0(\text{Tot } \mathcal{L}^\vee, \mathcal{L}^\vee)$ is given R-charge $|p| = 2$.

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Here $\text{Crit}(fp) = \{f = p = 0\} \cup \{\text{Crit}(f)\} = X \cup \mathcal{L}^\vee|_{\text{Sing}(X)}$.

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Idea ("Exoflops" Aspinwall '14)

We find proper categorical resolutions of X by compactifying $\text{Crit}(fp)$ inside $\text{Tot } \mathcal{L}^\vee$, i.e. partially compactifying $\text{Tot } \mathcal{L}^\vee$.

Remark

What does $\mathfrak{P}erf(X)$ correspond to? Vector bundles $\mathcal{E}$ map to

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Remark

By Knörrer periodicity $f \rightsquigarrow f + x^2 + y^2$, studying curves / surfaces also gives results for higher-dimensional hypersurfaces.

Example A_1

Node $X = \{xy = 0\} \subset \mathbb{A}^2$, normalization $\tilde{X} \subset \text{Bl}_0 \mathbb{A}^2 = \text{Tot } \mathcal{O}(-1)_{\mathbb{P}^1}$.

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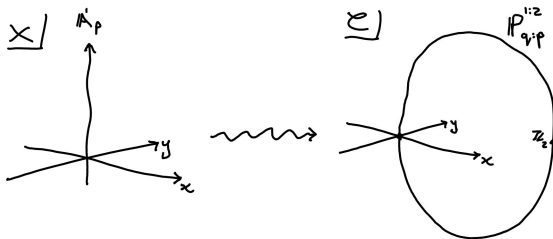
$$\begin{array}{ccccc}
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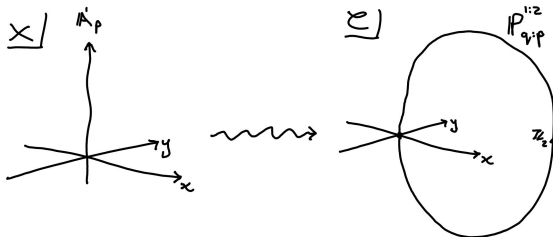
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Here $\mathcal{C} = \langle D^b(\tilde{X}), D^b(\text{pt}) \rangle$ matches Kuznetsov–Lunts gluing.

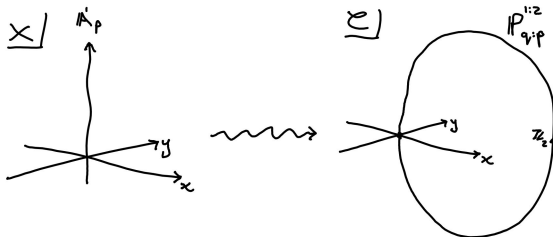


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- At $p = 0$: $\mathfrak{B}erf(X)$
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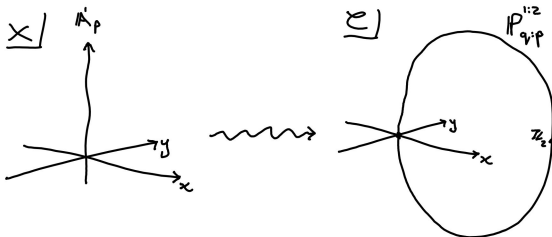


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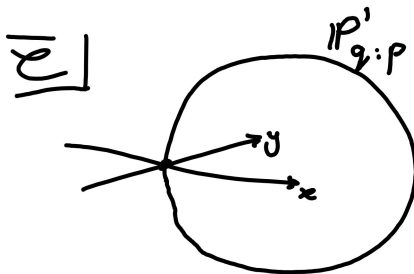
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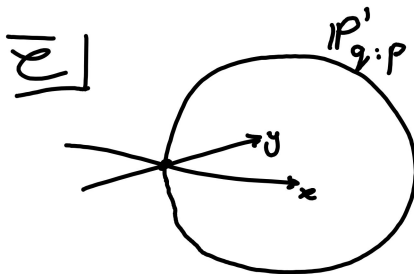
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The null category at $\{q = 0\}$ is $D_0^b(\mathbb{A}^1) = \mathfrak{P}erf(k[\theta]/\theta^2)$, via Koszul duality (work out R -charge $\implies |\theta| = 3 \implies 3$ -spherical object).

Remark

By localization, $D_{\text{Sg}}(X) = \text{MF}_{\{p \neq 0\}}(\text{Tot } \mathcal{L}^\vee, fp)$ is the branch bit we are seeing, separated from X , pre-compactification.

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- The data of $\mathcal{C}/\mathfrak{P}\text{erf}(X) \rightarrow D_{\text{Sg}}(X)$ should then determine the resolution via gluing with $D^b(X) \rightarrow D_{\text{Sg}}(X)$.
- In particular, if $D_{\text{Sg}}(X') = D_{\text{Sg}}(X)$ then X' and X should have the same categorical resolution theory.

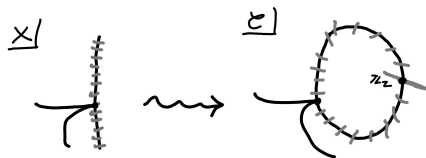
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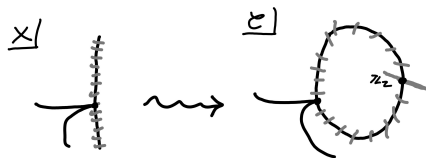


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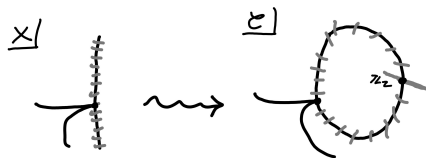
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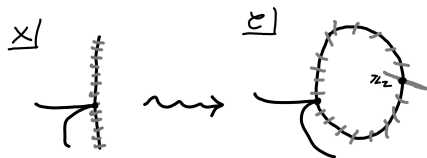
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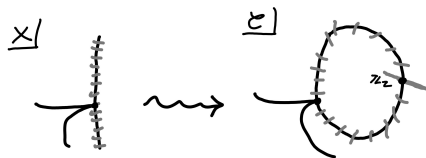
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Example A_2

Cusp $X = \{y^2 = x^3\} \subset \mathbb{A}^2$, normalization $\tilde{X} \subset \text{Bl}_0 \mathbb{A}^2$. We get

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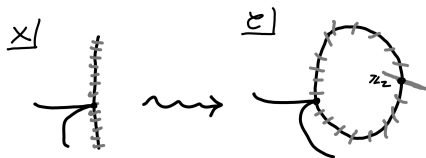
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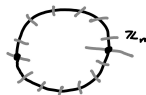
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Example $k[x]/x^n$

We can resolve $k[x]/x^n$ by compactifying $(\mathbb{A}^2, x^n p)$:

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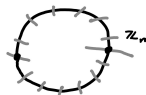
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i.e. a collection of $n - 1$ spherical objects. (Warning: *not* SOD's)

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This is equivalent to the Auslander resolution.

Thank you!